



Atty. Dkt. No. 085747/0170

SECRET
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David S. WELLS et al.
Title: SUSTAINED-RELEASE FORMULATIONS FOR
TREATING CNS-MEDIATED DISORDERS
Appl. No.: 09/691,237
Filing Date: October 19, 2000
Examiner: Unassigned
Art Unit: 1615

**TRANSMITTAL OF MISSING PARTS
OF PATENT APPLICATION**

Commissioner for Patents
Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on December 1, 2000, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- ☒ [X] Declaration and Power of Attorney (4 pages)
- ☒ [X] Copy of Patent Application Specification filed October 19, 2000
- ☒ [X] Copy of Notice to File Missing Parts (Part 2 of Form PTO-1533)
- ☒ [X] Check in the amount of \$1,092.00 in payment of the \$710.00 official Government filing fee, including \$130.00 surcharge; \$252.00 for fourteen (14) additional Dependent claims.

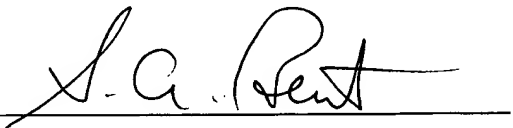
The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date January 3, 2001

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By

A handwritten signature in black ink, appearing to read "S. A. Bent", written over a horizontal line.

Stephen A. Bent
Attorney for Applicant
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/691,237	10/19/2000	David S. Wells	085747/0170

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FORMALITIES LETTER



OC000000005591538

Date Mailed: 12/01/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

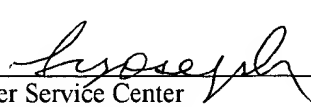
Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$252.
 - \$252 for 14 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1092.

01/04/2001 HBARHOL 00000089 09691237

01 FC:101	710.00 OP
02 FC:105	130.00 OP
03 FC:103	252.00 OP

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE